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Docket No. BBA 1-020 DIV  
(BBA 6050 DIV)

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicants : DEWIS, et al

Serial No. : 10/645,772

Art Unit: 1616

Filed : August 21, 2003

Examiner: TO BE DETERMINED

For : ETHYL 3-MERCAPTOBUTYRATE AS A FLAVORING OR  
FRAGRANCE AGENT AND METHODS FOR PREPARING AND  
USING SAME

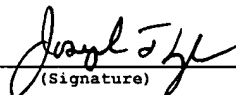
I hereby certify that this correspondence is being deposited with the  
United States Postal Service as first class mail in an envelope addressed  
to: Mail Stop DD, Commissioner for Patents, P.O. Box 1450,  
Alexandria, VA 22313-1450

June 30, 2004

(Date of Deposit)

JOSEPH F. LEIGHTNER

(Name of applicant, assignee, or Registered Representative)

  
(Signature)

June 30, 2004

(Date of Signature)

Mail Stop DD  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT**

Dear Sir:

Pursuant to 37 C.F.R. §1.56 and in accordance with 37  
C.F.R. §§1.97-1.98, information relating to the above-  
identified application is hereby disclosed. Inclusion of  
information in this statement is not to be construed as an  
admission that this information is material as that term is  
defined in 37 C.F.R. §1.56(b).

☐ In accordance with §1.97(b), since this Information Disclosure Statement is being filed either within three months of the filing date of the above-identified application, within three months of the date of entry into the national stage of the above identified application as set forth in §1.491, or before the mailing date of a first Office Action on the merits of the above-identified application, no additional fee is required.

☐ In accordance with §1.129(a), this Information Disclosure Statement is being filed in connection with ☐ the first or ☐ second After Final Submission, therefore:

- ☐ Certification in Accordance with §1.97(e) is set forth below; or
- ☐ Please charge Deposit Account No. 10-0750/ / the fee of \$240.00 as set forth in §1.17(p).

☒ In accordance with §1.97(c), this Information Disclosure Statement is being filed after the period set forth in §1.97(b) above but before the mailing date of either a Final Action under §1.113 or a Notice of Allowance under §1.311, therefore:

- ☒ Certification in Accordance with §1.97(e) is set forth below; or
- ☐ Please charge Deposit Account No. 10-0750/ / the fee of \$240.00 as set forth in §1.17(p).

☐ In accordance with §1.97(d), this Information Disclosure Statement is being filed after the mailing date of either a Final Action under §1.113 or a Notice of Allowance under §1.311 but before the payment of the Issue Fee. Applicant(s) hereby petition(s) for consideration of this Information Disclosure Statement. Included are: Certification

in Accordance with §1.97(e) as set forth below and the fee of \$130.00 as set forth in §1.17(i)(1).

☒ Copies of each of the references listed on the attached Form PTO-1449 with the exception of U.S. Patents and/or Patent Applications are enclosed herewith. Applicants respectfully submit that these references are not required inasmuch as this application is filed after June 30, 2003.

☐ Copies of references listed on the attached Form PTO-1449 are enclosed herewith EXCEPT THAT:

- ☐ In view of the voluminous nature of references [list as appropriate], and the likelihood that these references are available to the Examiner, copies are not enclosed herewith.
- ☐ In accordance with §1.98(d), copies of the following references listed on the attached Form PTO-1449 are not enclosed herewith because they were previously cited by or submitted to the U.S. Patent and Trademark Office in patent application(s) for which a claim for priority under 35 U.S.C. §120 have been made in the instant application:
- ☐ Copies of references [list as appropriate] listed on the attached Form PTO-1449 were previously cited by or submitted to the Patent and Trademark Office in prior application Serial No.           , filed           .

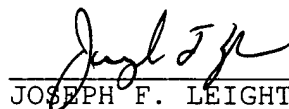
☐ If any of the foregoing publications are not available to the Examiner, Applicant will endeavor to supply copies at the Examiner's request.

☐ The relevance of those listed references which are not in the English language is as follows:

☒ There are listed references which are not in the English language.

Please charge any deficiency or credit any overpayment to Deposit Account No. 12-1295. This form is submitted in triplicate.

Respectfully submitted,



JOSEPH F. LEIGHTNER  
Reg. No. 34,209  
Attorney for Applicants

INTERNATIONAL FLAVORS & FRAGRANCES INC.  
521 West 57<sup>th</sup> Street  
Law Department - 10<sup>th</sup> Floor  
New York, New York 10019

Telephone: (212) 708-7103

FAX: (212) 708-7253

[joseph.leightner@iff.com](mailto:joseph.leightner@iff.com)

Date: June 30, 2004


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**CERTIFICATION UNDER 37 C.F.R. §1.97(e)**


In accordance with 37 C.F.R. §1.97(e), certification is hereby made that:

☒ Each item of information contained in the information disclosure statement was cited in a communication from a

foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Information Disclosure Statement.

☐ No item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in connection with a counterpart foreign application or, to the knowledge of the undersigned after making reasonable inquiry, was known to any individual designated in §1.56(c) more than three months prior to the filing of the statement.

  
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JOSEPH F. LIGHTNER  
Reg. No. 34,209  
Attorney for Applicants

Form PTO-1449 <b>INFORMATION DISCLOSURE CITATION</b> <b>IN AN APPLICATION</b> 	Docket No.	Serial No.
	BBA 1-020 DIV (BBA 6050 DIV)	10/645,772
	Applicant Dewis, et al	
Filing Date	Group Art Unit	
August 21, 2003	1616	

## U.S. PATENT DOCUMENTS

Exam'r Init.	Document No.	Date	Name	Class	Sub Class	File Date
	4,232,167	11/4/80	Louthan			
	2,402,639	6/25/46	Lasier, et al			
	4,426,403	1/17/84	Cyronak, et al			
	3,954,843	5/4/76	Helmlinger, et al			
	5,008,432	4/16/91	Roberts			

## FOREIGN PATENT DOCUMENTS

Exam'r Init.	Document No.	Date	Country	Class	Sub Class	Translate (select one) Yes No	
	1 153 547A2	11/14/01	Europe				
	1 153 47 A3	12/19/01	Europe				
	2,525 870	4/11/83	France				
	0 330 254	2/10/89	Europe				
	1 409 209	10/8/5	U.K.				

## OTHER REFERENCES (include author, title, date, pertinent pages, etc.)


Examiner:	Date Considered
Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP §609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.	